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216 14-02-02

| TRANSMITTAL LETTER   |                              |                            | Case No. 10790/4       |
|--|------------------------------|----------------------------|------------------------|
| Serial No.<br>09/896,760   | Filing Date<br>June 29, 2001 | Examiner<br>To be assigned | Group Art Unit<br>2161 |
| Inventor(s)<br>Curt Conklin et al.   |                              |                            |                        |
| Title of Invention<br>METHOD AND SYSTEM FOR ENHANCED DISTRIBUTION OF FINANCIAL INSTRUMENTS |                              |                            |                        |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in dup.), Substitution of Drawing Letter, 1 Formal Drawing (Fig. 2), and Return Post Card evidencing receipt of same.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity    |           |
|-----------------|-----------|
| Rate            | Add'l Fee |
| x \$9 =         |           |
| x 42 =          |           |
| + \$140 =       |           |
| Total add'l fee | \$        |

or

| Other Than Small Entity |           |
|-------------------------|-----------|
| Rate                    | Add'l Fee |
| x \$18 =                |           |
| x \$84 =                |           |
| + \$280 =               |           |
| Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Darin E. Bartholomew  
Registration No. 36,444  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 11, 2002.

Date: Jan 11 2002

Signature: [Signature]



I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231 on

January 11, 2002

Date of Deposit

Darin E. Bartholomew, 36,444

Name of Applicant, assignee or  
Registered Representative

Signature

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Our Case No. 10790/4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CURT CONKLIN et al.

Serial No. 09/896,760

Filing Date: June 29, 2001

For METHOD AND SYSTEM FOR  
ENHANCED DISTRIBUTION OF  
FINANCIAL INSTRUMENTS

)  
)  
)  
) Examiner To be assigned  
)  
)  
)  
)  
)  
)

## SUBSTITUTION OF DRAWING

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant has enclosed a revised version of FIG. 2, which corrects the misspelling of "financial" associated with reference number 32.

Respectfully submitted,

Darin E. Bartholomew  
Registration No. 36,444  
Attorney for Applicant

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